

Please type a plus sign (+) inside this box →



Based on PTO/SB/21 (05-03)  
Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond  
to a collection of information unless it displays a valid OMB control number.

*1PW*



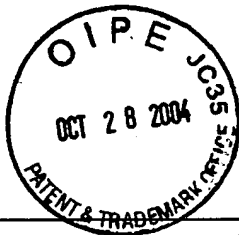
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/806,746
		Filing Date	3/22/2004
		First Named Inventor	Paul Chang
		Group Art Unit	2621
		Examiner Name	Jose L. Couso
Total Number of Pages in This Submission		Attorney Docket Number	STEN.P0001C

Enclosures (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations(s) <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Request to Rescind Previous Non-Publication Request <input checked="" type="checkbox"/> Information Disclosure Statement and PTO-1449 <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures (Listed below): <b>Postcard</b> <b>8 References</b>
<b>Remarks:</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Or Individual name	John Stattler, Reg. No. 36,285 of Stattler Johansen & Adeli LLP
Signature	<i>John Stattler</i>
Date	October 26, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date: 10/26/04			
Typed or printed name	John Stattler		
Signature	<i>John Stattler</i>	Date	October 26, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT  
Docket No. STEN.P0001C

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 26, 2004.

  
John Stattler

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Paul Chang

Serial No.: 10/806,746

Filing Date: 3/22/2004

For: METHODS AND APPARATUS FOR  
DYNAMIC TRANSFER OF IMAGE DATA

Examiner: Jose L. Couso

Group Art Unit: 2621

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit with this Information Disclosure Statement the attached Form PTO-1449, and copies of the documents listed in the 1449 form for consideration by the Examiner. The Examiner is requested to make these documents of record. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to

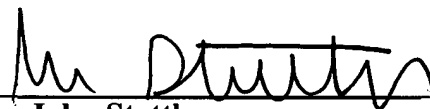
the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

This Information Disclosure Statement is submitted within three months of the application filing date or before the mailing date of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

However, in the unlikely event that the Patent Office determines that additional fees, extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1128** referencing **STEN.P0001C**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 26, 2004

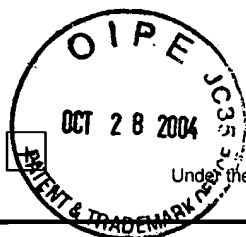
Respectfully submitted,

By: 

**John Stattler**  
Registration No. 36,285

Stattler, Johansen & Adeli LLP  
P.O. Box 51860  
Palo Alto, CA 94303-0728

Phone No. (650) 752-0990 x100  
Fax No. (650) 752-0995



Please type a plus sign (+) inside this box →

Based on PTO/SB/08 a & b (05-03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/806,746
				Filing Date	3/22/2004
				First Named Inventor	Paul Chang
				Group Art Unit	2621
				Examiner Name	Jose L. Couso
Sheet	1	of	1	Attorney Docket Number	STEN.P0001C

FOREIGN PATENT DOCUMENTS								
Examiner* Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Date of Publication MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code (if known) <sup>5</sup>				
	1.	EP	0 701 375	B1	08-19-1994	Video Image Compression Using Weighted Wavelet Hierarchical Vector Quantization		
	2.	EP	0 669 765	A2	08-30-1995	Multipoint Digital Video Communication System		
	3.	PCT	PCT/AU97/00724		05-07-1998	Commonwealth Scientific and Industrial Research Org.		
	4.	PCT	PCT/GB96/00623		09-26-1996	Imperial College or Science, Technology & Medicine		

NON PATENT LITERATURE DOCUMENTS					
Examiner* Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>6</sup>
	5.	CHEE, Y.-KHEONG, Survey of Progressive Image Transmission Methods, Imago Multimedia Centre, John Wiley & Sons, 1999			
	6.	ROGGE, B., Region of Interest Based Progressive Transmission of Grayscale Images Across the Internet", University of Ghent.			
	7.	RICHARD L. PHILLIPS, "A Bridge from Full-Function to Reduced-Function Workstations", I.E.E.E. Computer Graphics and Application 6, MAY (1986) NY, NY.			
	8.	BRADLEY et al., "The Wavelet / Scalar Quantization Compression Standard for Digital Fingerprint Images", Proc. of I.E.E.E. International Symposium on Circuits and Systems, 5/1994, Vol. 3, pp. 205-208.			

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.